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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eugene Jarvis et al.
Appl. No.: 10/002,553
Filed: November 23, 2001
Title: FINANCIAL TRADING GAME
Art Unit: 3713
Examiner: Unknown
Docket No.: 0114066-004

Honorable Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
3,559,993	February 2, 1971	Thomas
3,770,277	November 6, 1973	Cass
4,363,489	December 14, 1982	Chodak et al.
4,448,419	May 15, 1984	Telnaes
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EP 0926645 A2	June 30, 1999	Europe
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Adders and Ladders Advertisement written by Barcrest Ltd., published prior to 2000.

American Thunder Screen Shots written by IGT, published in 1998.

Big Bang Piggy Bankin Advertisement written by WMS Gaming, Inc., published prior to 2000.

Blackjack/Twenty-One Description written by Hoyle's Rules of Games, published in 1993.

Bonus Spin Red, White & Blue Advertisement written by IGT, published in 2000.

Bonus Times Article written by Strictly Slots, published in 2000.

By George written by IGT, published in 2002.

Caribbean Gold II Advertisement written by Aristocrat Incorporated, published in 1998.

Cash Box Advertisement & Article written by Anchor Games, Strictly Slots, published in 2000.

Chutes & Ladders Game Instructions written by Hasbro-Milton Bradley, published in 1999.

Description of Let's Make a Deal Television Show written by letsmakeadeal.com (2 pages), printed on 03/16/01

Double Diamond Game Descriptions written by IGT printed on March 21, 2001.

Double Up Poker Game Description written by IGT Undated

Easy Street Advertisements and Articles written by Casino Data Systems, published in 2000.

Elvis Advertisement written by IGT, published in 1999.

Empire Game Advertisement written by AC Coin, published in 1996.

Fire and Fortune Article written by Strictly Slots, published in 2001.

Fox "N" Hound Advertisement written by IGT, published in 2000.

In Between Game Description written by IGT, available prior to 2000.

Jackpot Party Advertisements and Articles written by WMS Gaming, Inc., published in 1998.

Keep Your Hat On Advertisement written by Aristocrat, published in 2001.

Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01.

Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.

Let's Make a Deal written by illinoislottery.com (1 page), printed on 03/21/01.

Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.

Let's Make A Deal Advertisement written by Shuffle Master and IGT, published in 2001.

Let's Make a Deal Game Advertisement written by Bally Gaming Systems, published in 1999.

Little Green Men Advertisement and Article written by IGT, Strictly Slots, published in 2000.

MegaJackpots Advertisement written by IGT, published in 1998.

Money Grab Article written by Strictly Slots, published in April 2001.

Money in the Bank Advertisement written by Strictly Slots Konami, published in 2001.

Monopoly Advertisements and Articles written by WMS Gaming, Inc., Strictly Slots, published in 1998, 1999, 2000.

Monopoly Party Train Article written by Strictly Slots, published in 2002.

Neon Nights written by IGT, published in 2000.

On the Money Article written by Strictly Slots, Casino Data Systems, published in December 2000.

Polly & Roger Advertisement written by VLC, Inc., published in 2000.

Price is Right "Cliff Hangers" Description written by www.geocities.com/members.aol.com (web site), printed 3/21/01.

Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.

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Richard Petty Advertisement written by IGT, published in 2000.

South Park – Dodgeball Advertisement written by IGT, published in 2000.

Spell Binder Advertisement written by IGT, published in 2000.

Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.

Take Your Pick Article written by Strictly Slots, published in March 2001.

Take Your Pick Advertisement written by IGT/Anchor Gaming, published in 1999.

Texas Tea Advertisement written by IGT, published in 2000.

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The Official Let's Make a Deal Website written by Bally Gaming System Website, printed on 03/16/01.

Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.

Top Dollar Game Advertisement written by IGT, published in 1998.

Totem Pole Advertisement written by IGT, published in 1997.

Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.

Wheel of Fortune Advertisement written by IGT, published in 1998.

Wheel of Fortune Advertisement written by IGT, published in 1999.

Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.

Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.

X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY Adam H. Masia

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION <small>(Use several sheets if necessary)</small> JUL 25 2002 PTO Form 1449	Atty Docket No.	Application No.
	0114066-004	10/002,553
	Applicant	Jarvis et al.
	Filing Date	Group
	November 23, 2001	3713

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,559,993	February 2, 1971	Thomas			
	3,770,277	November 6, 1973	Cass			
	4,363,489	December 14, 1982	Chodak et al.			
	4,448,419	May 15, 1984	Telnaes			
	4,582,324	April 15, 1986	Koza et al.			
	4,624,459	November 25, 1986	Kaufman			
	5,611,535	March 18, 1987	Tiberio			
	4,666,160	May 19, 1987	Hamilton			
	4,695,053	September 22, 1987	Vazquez, Jr. et al.			
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	5,178,390	January 12, 1993	Okada			
	5,205,555	April 27, 1993	Hamano			
	5,342,047	August 30, 1994	Heidel et al.			
	5,456,465	October 10, 1995	Durham			
	5,524,888	June 11, 1996	Heidel			
	5,536,016	July 16, 1996	Thompson			
	5,542,669	August 6, 1996	Charron et al.			
	5,560,603	October 1, 1996	Seelig et al.			
	5,711,525	January 27, 1998	Breeding			

Examiner:	Date Considered:
<small>*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>	

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JUL 25 2002 PTO Form 1449		Applicant Jarvis et al.	
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O P E R A T I O N S
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	5,833,538	November 10, 1998	Weiss			
	5,842,921	December 1, 1998	Mindes et al.			
	5,848,932	December 15, 1998	Adams			
	5,851,148	December 22, 1998	Brune et al.			
	5,873,781	February 23, 1999	Keane			
	5,882,261	March 16, 1999	Adams			
	5,902,184	May 11, 1999	Bennett et al.			
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	6,375,187 B1	April 23, 2002	Baerlocher			

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	WO 00/12186	March 9, 2000	PCT				

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	By George written by IGT, published in 2002.
	Caribbean Gold II Advertisement written by Aristocrat Incorporated, published in 1998.
	Cash Box Advertisement & Article written by Anchor Games, Strictly Slots, published in 2000.
	Chutes & Ladders Game Instructions written by Hasbro-Milton Bradley, published in 1999.
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	Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01.
	Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.
	Let's Make a Deal written by Illinoislottery.com (1 page), printed on 03/21/01.
	Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.

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	Neon Nights written by IGT, published in 2000.
	On the Money Article written by Strictly Slots, Casino Data Systems, published in December 2000.
	Polly & Roger Advertisement written by VLC, Inc., published in 2000.
	Price is Right "Cliff Hangers" Description written by www.geocities.com; members.aol.com (web site), printed 3/21/01.
	Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.
	Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.
	Richard Petty Advertisement written by IGT, published in 2000.
	South Park – Dodgeball Advertisement written by IGT, published in 2000.
	Spell Binder Advertisement written by IGT, published in 2000.
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	Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.
	Wheel of Fortune Advertisement written by IGT, published in 1998.
	Wheel of Fortune Advertisement written by IGT, published in 1999.
	Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.
	Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.
	X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

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Examiner:	Date Considered:
<small>*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>	

07-29-22

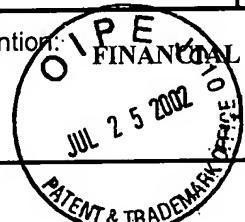
6P 3713

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Eugene Jarvis et al.

Docket No.

0114066-004

Serial No.
10/002,553Filing Date
November 23, 2001Examiner
UnknownGroup Art Unit
3713Invention: **FINANCIAL TRADING GAME**

I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - Form 1449; References cited; Certificate of Express Mailing and Postcard Return Receipt.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0114066-004

In Re Application Of: Eugene Jarvis et al.

Serial No.
10/002,553

Filing Date
November 23, 2001

Examiner
Unknown

Group Art Unit
3713

Title: FINANCIAL TRADING GAME



Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
0114066-004

In Re Application: **Eugene Jarvis et al.**

Serial No.

10/002,553

Filing Date

November 23, 2001

Examiner

Unknown

Group Art Unit

3713

FINANCIAL TRADING GAME



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.

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Credit any overpayment.

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Adam H. Masia
Signature

Dated: *7/25/02*

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